



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/526,697	05/05/2005	Mark E. Dudley	233876	9619
45733 7590 08/06/2008 LEYDIG, VOIT & MAYER, LTD. TWO PRUDENTIAL PLAZA, SUITE 4900 180 NORTH STETSON AVENUE CHICAGO, IL 60601-6731				
EXAMINER				
BELYAVSKIY, MICHAEL A				
ART UNIT		PAPER NUMBER		
1644				
MAIL DATE		DELIVERY MODE		
08/06/2008		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

**Application No.**

10/526,697

**Applicant(s)**

DUDLEY ET AL.

**Examiner**

Michail A. Belyavskiy

**Art Unit**

1644

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 06 June 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-40 is/are pending in the application.
- 4a) Of the above claim(s) 1-22 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 23-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SG/US)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

RESPONSE TO APPLICANT'S AMENDMENT

1. Applicant's A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(c), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(c) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 06/06/08 has been entered.

Claims 1-40 are pending.

Claims 1-22 stand withdrawn from further consideration by the Examiner, 37 C.F.R. § 1.142(b) as being drawn to a nonelected invention.

*2. Claims 23-40 read on a method of promoting the regression of a cancer in a mammal comprising administering nonmyeloablative lymphodepleting chemotherapy and subsequently administering autologous T-cells, which have been previously isolated and stimulated in vitro with the antigen of the cancer of are under consideration in the instant application.*

3. Applicant's submission of Declaration under 37 CFR 1.131 by Dr. Dudley, that the invention of the instant specification was conceived of and reduced to practice before July 2, 2001 has obviated the previous rejection of claims 23-40 under 35 U.S.C. 103(a) as being unpatentable over Dudley et al., or WO'03/004625 each in view of Seiter et al. (J of Immunology, 2002, V.25, pages 252-263).

4. Claims 23- 35, 37 and 38 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Dudley et al (J of Immunology, May 2001, Vol. 24, IDS) or WO'9705239 in view of US Patent 6,447,767 (IDS) and Riddell et al. and newly cited US Patent 5,126,132 for the same reasons set forth in the previous Office Action, mailed on 02/06/08.

Applicant's arguments, 06/06/08 have been fully considered, but have not been found convincing.

Art Unit: 1644

Applicant asserts that: (i) none of the primary references teaches or suggest administering non-myeloablative lymphodepleting chemotherapy prior to the administration of autologous T cells; (ii) US Patent 767 only teaches administering non-myeloablative therapy prior to the administering hematopoietic stem cells , not T -cells; (iii) Riddell et al., teaches using multiple rounds of rapid expansion to generate large number of T cells for adoptive immunotherapy.

Applicants have traversed the primary and the secondary references pointing to the differences between the claims and the disclosure in each reference. Applicant is respectfully reminded that the rejection is under 35 USC103 and that unobviousness cannot be established by attacking the references individually when the rejection is based on the combination of the references. see In re Keller, 642 F.2d 4B, 208 USPQ 871, 882 (CCPA 1981) See MPEP 2145. This applicant has not done, but rather argues the references individually and not their combination. One cannot show non-obviousness by attacking references individually where the rejections are based on a combination of references. In re Young 403 F.2d 759, 150 USPQ 725 (CCPA 1968).

Moreover, it has been recently stated that KSR forecloses the argument that a specific teaching, suggestion, or motivation are required to support a finding of obviousness See Board decision ( see *KSR International Co v Teleflex Inc.*, 550U.S.-, 82 USPQ2d 1385, 2007).

In the instant case, Dudley et al., teach a method of promoting the regression of melanoma in a mammal which comprising administering an autologous T cell which have been previously isolated, selected for highly avid recognition of melanoma antigen and expanded *in vitro* ( see entire document, Abstract and page 364 in particular). Dudley et al teach that to same patient IL-2 at various dosages ( 125,000 IU/kg -and 720,000IU/kg) was administered subsequently to autologous T cells ( see Material and methods in particular). Dudley et al teach that some patient had also received the MART-1 peptide ( see page 364 in particular). Dudley et al. teach that to overcome the poor persistence of adoptive transferred of T cells several variation on patient treatment protocol could be considered, including lymphodepleting chemotherapy. Dudley et al. teach that said treatment might improve lymphocyte survival and treatment efficacy.

WO' 239 teaches a method of promoting the regression of cancer in a mammal comprising administering to mammal an autologous T-cells which have been stimulated *in vitro* with antigen of the cancer ( see entire document, Abstract and pages 12, 17, 22, 48 and 49 in particular). WO' 239 teaches the administration of IL-2 to the same patients at various concentrations ( see pages 16 and 18 in particular)

The claimed invention differs from the reference teaching in that the Dudley et al., or WO' 239 does not explicitly teach a patient treatment protocol comprising administering non-myeloablative lymphodepleting chemotherapy comprising administration of cyclophosphamide and fludarabine prior to administering an autologous T cell which have been previously isolated, selected for highly avid recognition of melanoma antigen and expanded *in vitro* and wherein

Art Unit: 1644

said T cells which have been previously isolated and stimulated *in vitro* with the antigen of the cancer have been further subjected to one cycle of rapid expansion using irradiated allogeneic feeder cells, OKT3 antibody and IL-2 .

US Patent '767 teaches a method of treating cancer patient, including melanoma, comprising administering to the patient non-myeloablative treatment, including administering cyclophosphamide and fludarabine prior of administering hematopoietic cells ( see entire document, Abstract, columns 3, 4, 8 and 9 in particular). US Patent '767 teaches that said non-myeloablative treatment should be used to overcome the poor persistence of adoptive transferred of T cells. Moreover, US '767 teaches that administering hematopoietic cells might contains T cells, since depletion T cell of donor stem cell has been know to increase the risk of graft rejection ( see column 12 and 16 in particular). Thus, the examiner disagrees with applicant's statement, that " US Patent 767 only teaches administering non-myeloablative therapy prior to the administering hematopoietic stem cells , not T -cells" However, it is noted that said statement is irrelevant for the instant rejection since US Patent'767 has been used as a secondary reference to show that at the time the invention was made one skill in the art would know that administering to the mammal nonmyeloablative lymphodepleting chemotherapy was a routinely used method to induced donor specific tolerance in a method of treating cancer patient, including melanoma.

Newly cited US Patent' 132 teaches a method of treating cancer, including melanoma, comprising administering to the patient an effective amount of autologous tumor infiltrating lymphocytes (see entire document, Abstract in particular). Us Patent' 132 teaches a general methodology how to determine an effective amount of said cells and also teaches that the preferred amount is from about  $5 \times 10^9$  to  $5 \times 10^{11}$  cells.

Riddell et al., teach a method of *in vitro* growing and expanding a large number of antigen specific T cells comprising rapid expansion using irradiated allogeneic feeder cells, OKT3 antibody and IL-2. The Examiner disagrees with Applicant's interpretation of Riddell et al., teaching. Riddell et al., teach an alternative culture method to clone and propagate human T cells that permitted retention of Ag specificity but did not require restimulation with Ag. Said expanded antigen-specific T cells would be useful for adoptive immunotherapy. However, nowhere do Riddell et al., teach that multiple rounds of rapid expansion should be used for adoptive immunotherapy. Moreover, it is noted that said reference has been used as the secondary reference to show that at the time the invention was made one skill in the art would know how to expand antigen specific T cells using irradiated allogeneic feeder cells, OKT3 antibody and IL-2.

It is the examiner position that it would be conventional and within the skill of the art to determine the effective amount of administered expanded antigen specific T cell for adoptive immunotherapy.

Art Unit: 1644

All the claimed elements were known in the prior art and one skill in the art could have combine the elements as claimed by known methods with no change in their respective function and the combination would have yield predictable results to one of ordinary skill in the art at the time of the invention ( see *KSR International Co v Teleflex Inc.*, 550U.S.-, 82 USPQ2d 1385, 2007).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to apply the teaching of US Patent '767, US Patent '132 and Riddell et al., to those of Dudley et al., or WO'239 to obtain a claimed method of promoting the regression of cancer in a mammal comprising administering non-myceloablative lymphodepleting chemotherapy comprising administration of cyclophosphamide and fludarabine prior to administering an autologous T cell which have been previously isolated, selected for highly avid recognition of melanoma antigen and expanded in vitro.

One of ordinary skill in the art at the time the invention was made would have been motivated to do so, because to overcome the poor persistence of adoptive transferred of T cells several variation on patient treatment protocol could be considered, including non-myceloablative treatment, including administering cyclophosphamide and fludarabine prior of administering T cells as taught by US Patent '767 that can be used in combination with by the method taught by Dudley et al. or WO'239. The strongest rationale for combining references is a recognition, expressly or impliedly in the prior art or drawn from a convincing line of reasoning based on established scientific principles or legal precedent, that some advantage or expected beneficial result would have been produced by their combination. In re Semaker. 217 USPQ 1, 5 - 6 (Fed. Cir. 1983). See MPEP 2144.

Claims 26 -34 are included because it would be conventional and within the skill of the art to : (i) determine the optimal duration and dosage of administering cyclophosphamide and fludarabine; or (ii) optimal amount of administered T cells. Further, it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. In re Aller, 220 F2d 454,456,105 USPQ 233; 235 (CCPA 1955). see MPEP § 2144.05 part II A.

From the combined teaching of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention.

Therefore, the invention as a whole was *prima facie* obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

Art Unit: 1644

5. Claims 36, 39 and 40 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Dudley et al (J of Immunology, May 2001, Vol. 24, IDS) or WO'9705239 in view of US Patent 6,447,767 (IDS), US Patent 5,126,132 and Riddell et al., as applied to claims 23- 35, 37 and 38 above, and further in view of newly cited Kawakami et al (PNAS, 1994, V.91, pages 6458-6462) and Stevens et al (J. of Immunology, 1995, 154, pages 762-771)

The teaching of Dudley et al., WO' 239, US Patent '132 and US Patent'767 and Riddell et al., have been discussed, supra.

Dudley et al., WO' 625 and US Patent '767 and Riddell et al., do not explicitly teach a method of promoting the regression of a cancer in a mammalian wherein antigen of cancer consists of amino acids 26-35 of MART-1 or amino acids 209-217 of gp100, as claimed in claims 36, 39 and 40.

Kawakami et al., teach melanoma differentiated antigens gp100 that is frequently observed as a targets of tumor infiltrating lymphocytes ( see entire document, Abstract in particular). Kawakami et al., teach that peptides consisting amino acids 209-217 of gp100 has been used for in-vitro sensitization of T cells ( see Materials and Methods in particular). Kawakami et al., teaches that incubation of T cells with said antigens consistently increase reactivity of T cells towards said immunodominant epitope. Obtaining said melanoma-specific T cells would be beneficiary for treating and promoting regression of melanoma in patients

Stevens et al., teach melanoma differentiated antigens MART-1 that is frequently observed as a targets of tumor infiltrating lymphocytes ( see entire document, Abstract in particular). Stevens et al., teach that peptides consisting of amino acids 25-35 of MART-1 has been used for in-vitro sensitization of T cells ( see Materials and Methods in particular). Stevens et al., teach that incubation of T cells with said antigens consistently increase reactivity of T cells towards said immunodominant epitope. Obtaining said melanoma-specific T cells would be beneficiary for treating and promoting regression of melanoma in patients

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to apply the teaching of Kawakami et al., and Stevens et al., to those of Dudley et al., WO' 625 and US Patent '767 to obtain a claimed a method of promoting the regression of a cancer in a mammalian wherein antigen of cancer consists of amino acids 26-35 of MART-1 or amino acids 209-217 of gp100.

Specific statements in the references themselves which would spell out the claimed invention are not necessary to show obviousness, since questions of obviousness involves not only what

Art Unit: 1644

references expressly teach, but what they would collectively suggest to one of ordinary skill in the art. See *CTS Com. v. Electro Materials Corp. of America* 202 USPQ 22 (DC SINY ); and *In re Burckel* 201 USPQ 67 (CCPA).

All the claimed elements were known in the prior art and one skill in the art could have combine the elements as claimed by known methods with no change in their respective function and the combination would have yield predictable results to one of ordinary skill in the art at the time of the invention ( see *KSR International Co v Teleflex Inc.*, 550U.S.-, 82 USPQ2d 1385, 2007).

From the combined teaching of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention.

Therefore, the invention as a whole was *prima facie* obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

6. No claim is allowed.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michail Belyavskyi whose telephone number is 571/ 272-0840. The examiner can normally be reached Monday through Friday from 9:00 AM to 5:30 PM. A message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eileen O'Hara can be reached on 571/ 272-0878.

The fax number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Michail A Belyavskyi/  
Primary Examiner, Art Unit 1644